

FORM PTO-1390 (Modified)  
(REV. 07-2004)

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

112740-1017

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/511457**INTERNATIONAL APPLICATION NO.  
**PCT/EP02/04214**INTERNATIONAL FILING DATE  
**06 April 2002**

PRIORITY DATE CLAIMED

TITLE OF INVENTION

**DISASTER AND EMERGENCY MODE FOR MOBILE TELEPHONES**

APPLICANT(S) FOR DO/EO/US

**Peter Neumann**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 23 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☒ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 351264441 US
23. ☒ Other items or information:

**Substitute Sheet (Rule 26) One Drawing on one page**

|  |  |  |  |  |  |
|--|--|--|--|--|--|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5)<br><b>10/511457</b> |  | INTERNATIONAL APPLICATION NO.<br><b>PCT/EP02/04214</b> |  | ATTORNEY'S DOCKET NUMBER<br><b>112740-1017</b> |  |
|--|--|--|--|--|--|

|   |              |              |           |                                  |    |
|---|--------------|--------------|-----------|----------------------------------|----|
| 24. The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)) :</b>   |              |              |           | <b>CALCULATIONS PTO USE ONLY</b> |    |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1110.00</b> |              |              |           |                                  |    |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$950.00</b>   |              |              |           |                                  |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$790.00</b>   |              |              |           |                                  |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$750.00</b>  |              |              |           |                                  |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>  |              |              |           |                                  |    |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |              |              |           | <b>\$950.00</b>                  |    |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |              |           | <b>\$0.00</b>                    |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE      |                                  |    |
| Total claims  | 8 - 20 =     | 0            | x \$18.00 | <b>\$0.00</b>                    |    |
| Independent claims  | 3 - 3 =      | 0            | x \$84.00 | <b>\$0.00</b>                    |    |
| Multiple Dependent Claims (check if applicable). <input type="checkbox"/>   |              |              |           | <b>\$0.00</b>                    |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |              |           | <b>\$950.00</b>                  |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |              |           | <b>\$0.00</b>                    |    |
| <b>SUBTOTAL =</b>   |              |              |           | <b>\$950.00</b>                  |    |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).                                   |              |              |           | <b>\$0.00</b>                    |    |
| <b>TOTAL NATIONAL FEE =</b>   |              |              |           | <b>\$950.00</b>                  |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>  |              |              |           | <b>\$0.00</b>                    |    |
| <b>TOTAL FEES ENCLOSED =</b>  |              |              |           | <b>\$950.00</b>                  |    |
|   |              |              |           | Amount to be:<br>refunded        | \$ |
|   |              |              |           | charged                          | \$ |

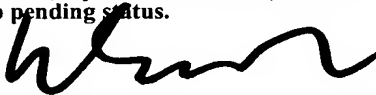
|    |   |
|----|---|
| a. | <input checked="" type="checkbox"/> A check in the amount of <u><b>\$950.00</b></u> to cover the above fees is enclosed.  |
| b. | <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.   |
| c. | <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u><b>02-1818</b></u>   |
| d. | <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

**William E. Vaughan (Reg. No. 39,056)**  
**Bell Boyd & Lloyd LLC**  
**P.O. Box 1135**  
**Chicago, Illinois 60690**  
**Telephone: (312) 807-4292**

  
 \_\_\_\_\_  
 SIGNATURE  
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**William E. Vaughan**  
 \_\_\_\_\_  
 NAME  
 \_\_\_\_\_  
**39,056**  
 \_\_\_\_\_  
 REGISTRATION NUMBER  
 \_\_\_\_\_  
**October 15, 2004**  
 \_\_\_\_\_  
 DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peter Neumann  
Appl. No.: PCT/EP02/04214  
Title: DISASTER AND EMERGENCY MODE FOR MOBILE TELEPHONES  
Docket No.: 112740-1017

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10**

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal Letter (duplicate);
2. International application as originally filed with Substitute Drawing Sheet (Rule 26) on 14 pages;
3. Preliminary Examination Report on 5 pages;
4. English translation on 8 pages with Substitute Drawing Sheet (Rule 26);
5. executed Declaration and Power of Attorney (3 pages);
6. Preliminary Amendment on 15 pages;
7. IDS on 1 page, PTO 1449 on 1 page, search report;
8. Recordation cover sheet on 1 page, executed assignment on 1 page, check for \$40.00;
9. Check in the Amount of \$950.00; and
10. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as  
Express Mail in an envelope addressed to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on October 15, 2004.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Renee Street

Name of Person Mailing Correspondence



Signature

EV 351264441 US

Express Mail Mailing Label Number